Form 210A (10/06)

### **United States Bankruptcy Court** Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC) (Jointly Administered)

#### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London Branch

The Royal Bank of Scotland Plc

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch Winchester House, 1 Great Winchester Street London EC2N 2DB

Tel: +44 20 7547 2400 Fax: +44 113 336 2010 Attention: Michael Sutton

E-mail: Michael.sutton@db.com

Court Claim # (if known): See attached Schedule

Amount of Claim (transferred):

See attached Schedule

(plus all interest, costs and fees relating to these claims)

SI AUGUST

Date Claim Filed: See attached Schedule

Tel: N/A

Last Four Digits of Acct. #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Tel: +44 20 7547 2400 Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571. Simon Glennie

Director

Carlo Saba Director

ISIN/CUSIP	LBHI Claim	Date Claim Filed	Princip	oal/Notional Amount
	Number			Saintotional Amount
XS0333449295	55007.04			
XS0284114567	55837.01 55837.11	October 29, 2009	USD	100,000
XS0301365945	55837.12	October 29, 2009	EUR	700,000
XS0186349535	57936.01	October 29, 2009	EUR	650,000
XS0336952337	58814	October 30, 2009	JPY	250,000,000
XS0342489233	58815	October 30, 2009	USD	600,000
XS0349757103	58817	October 30, 2009 October 30, 2009	USD	520,000
XS0349511872	59531	October 30, 2009	USD	980,000
XS0348407411	59532	October 30, 2009	USD	1,260,000
XS0344557839	59535	October 30, 2009	EUR	2,100,000
XS0344537997	59537	October 30, 2009	USD	2,468,000
XS0341160082	59539	October 30, 2009	USD	30,000
XS0289261546	59547	October 30, 2009	USD	1,400,000
XS0287869050	59549	October 30, 2009	USD	131,000
XS0260770010	59556	October 30, 2009	EUR	100,000 70,000
XS0257807874	59558	October 30, 2009	USD	100,000
XS0257807288	59559	October 30, 2009	EUR	130,000
XS0369799928	59566	October 30, 2009	HKD	1,000,000
XS0369799845	59567	October 30, 2009	USD	345,000
XS0363956995	59569	October 30, 2009	USD	1,725,000
XS0352549603 XS0350571377	59575	October 30, 2009	HUF	863,500,000
XS0350371377 XS0350312608	59578	October 30, 2009	USD	50,000
XS0300512608 XS0349512250	59579	October 30, 2009	EUR	100,000
XS0349312250 XS0323849199	59580	October 30, 2009	HKD	3,000,000
XS0323097120	59582 59584	October 30, 2009	USD	50,000
XS0318056354	59585	October 30, 2009	USD	1,900,000
XS0321471517	59586	October 30, 2009 October 30, 2009	CHF	1,640,000
XS0310085575	59587	October 30, 2009	USD	1,050,000
XS0312435729	59588	October 30, 2009	EUR USD	300,000
XS0308269819	59590	October 30, 2009	EUR	500,000
XS0308319341	59591	October 30, 2009	EUR	50,000
XS0309485729	59592	October 30, 2009	EUR	630,000
XS0307992676	59595	October 30, 2009	EUR	910,000 200,000
XS0339560376	59599	October 30, 2009	HKD	190,000
XS0339560293	59600	October 30, 2009	USD	120,000
XS0339408238	59602	October 30, 2009	USD	40,000
XS0338525172 XS0338329740	. 59603	October 30, 2009	USD	100,000
XS0337553688	59605	October 30, 2009	USD	450,000
XS0337407943	59606	October 30, 2009	USD	120,000
XS0336951107	59607 59608	October 30, 2009	USD	200,000
XS0336645089	59610	October 30, 2009	EUR	570,000
XS0336415913	59611	October 30, 2009	USD	70,000
XS0336410013	59612	October 30, 2009 October 30, 2009	USD	10,000
XS0336250229	59613	October 30, 2009	USD	400,000
XS0335743398	59614	October 30, 2009	USD	960,000
XS0334922860	59616	October 30, 2009	HKD	5,100,000
XS0333449295	59618	October 30, 2009	USD	2,640,000
XS0332153807	59619	October 30, 2009	HKD	145,000
XS0331769538	59620	October 30, 2009	USD	2,200,000
XS0331769454	59621	October 30, 2009	USD	760,000 290,000
XS0331400530	59623	October 30, 2009	USD	20,000
XS0329337348 XS0328923379	59625	October 30, 2009	HKD	1,510,000
XS0328586515	59626	October 30, 2009	EUR	570,000
XS0327940929	59627 59628	October 30, 2009	USD	50,000
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XS0327859301	59629	October 30, 2009	HKD	1.200:000
XS0327465430	59630	October 30, 2009	USD	1,200,000
XS0326866307	59631	October 30, 2009	HKD	20,000
XS0326865671	59632	October 30, 2009	USD	4,310,000
XS0326708491	59633	October 30, 2009	USD	240,000
XS0326608436	59634	October 30, 2009	USD	150,000
XS0326608352	59635	October 30, 2009	HKD	130,000
XS0326608279	59636	October 30, 2009	USD	500,000
XS0326108973	59637	October 30, 2009	SEK	270,000
XS0326085742	59638	October 30, 2009	1	7,300,000
XS0326026498	59639	October 30, 2009	EUR	135,000
XS0326026225	59640	October 30, 2009	HKD	2,930,000
XS0325841368	59642		USD	160,000
XS0325786084	59643	October 30, 2009	USD	110,000
XS0324269488	59644	October 30, 2009	HKD	3,750,000
XS0323849355	59646	October 30, 2009	EUR	645,000
XS0232364868	59651	October 30, 2009	EUR	950,000
XS0218304458	59654	October 30, 2009	EUR	400,000
XS0214930231	59657	October 30, 2009	EUR	3,978,000
XS0211093041	59658	October 30, 2009	EUR	60,000
XS0213416141	59659	October 30, 2009	EUR	50,000
XS0207884379	59662	October 30, 2009	EUR	280,000
XS0202417050	59664	October 30, 2009 October 30, 2009	USD	62,000
XS0189294225	59666	October 30, 2009	EUR	265,000
XS0187967160	59667	October 30, 2009	EUR	718,000
XS0186883798	59668		CHF	150,000
XS0186243118	59669	October 30, 2009 October 30, 2009	USD	50,000
XS0301339510	59682	October 30, 2009	CHF	70,000
XS0197173643	63607	November 2, 2009	USD	1,000,000
		1 November 2, 2009	EUR	500,000

### AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM LEHMAN PROGRAM SECURITY

#### TO: THE DEBTOR AND THE BANKRUPTCY COURT

- For value received, the adequacy and sufficiency of which are hereby acknowledged, THE ROYAL BANK OF SCOTLAND PLC ("Seller") hereby unconditionally and irrevocably sells, transfers and assigns to DEUTSCHE BANK AG, LONDON BRANCH (the "Purchaser"), and Purchaser hereby agrees to purchase, as of the date hereof, (a) an undivided interest, to the extent of the applicable percentage specified in Schedule 1 hereto, in Seller's right, title and interest in and to the Proof of Claim Numbers listed on Schedule 1 filed by or on behalf of Seller (a copy of which is attached at Schedule 2 hereto) (the "Proofs of Claim" and each a "Proof of Claim") as is specified in Schedule 1 hereto (the "Purchased Portion") against Lehman Brothers Holdings, Inc., as guarantor of the Purchased Security (as defined below) and debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor"), (b) all rights and benefits of Seller relating to the Purchased Portion, including without limitation (i) any right to receive cash, securities, instruments, interest, damages, penalties, fees or other property, which may be paid or distributed with respect to the Purchased Portion or with respect to any of the documents, agreements, bills and/or other documents (whether now existing or hereafter arising) which evidence, create and/or give rise to or affect in any material way the Purchased Portion, whether under a plan or reorganization or liquidation, pursuant to a liquidation, or otherwise, (ii) any actions, claims (including, without limitation, "claims" as defined in Section 101(5) of Title 11 of the United States Code (the "Bankruptcy Code")), rights or lawsuits of any nature whatsoever, whether against the Debtor or any other party, arising out of or in connection with the Purchased Portion, (iii) any rights and benefits arising out of or in connection with any exhibit, attachment and/or supporting documentation relating to the Purchased Portion, and (iv) any and all of Seller's right, title and interest in, to and under the transfer agreements, if any, under which Seller or any prior seller acquired the rights underlying or constituting a part of the Purchased Portion, but only to the extent related to the Purchased Portion, (c) any and all proceeds of any of the foregoing (collectively, as described in clauses (a), (b), and (c), the "Transferred Claims"), and (d) the security or securities (any such security, a "Purchased Security") relating to the Purchased Portion and specified in Schedule 1 attached hereto. For the avoidance of doubt, Purchaser does not assume and shall not be responsible for any obligations or liabilities of the Seller to or in connection with the Transferred Claim, the Purchased Securities or the Proceedings.
- Seller hereby represents and warrants to Purchaser that: (a) the Proofs of Claim were duly and timely filed on or before 5:00 p.m. (prevailing Eastern Time) on November 2, 2009 in accordance with the Court's order setting the deadline for filing proofs of claim in respect of "Lehman Program Securities"; (b) the Proofs of Claim relates to one or more securities expressly identified on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009; (c) Seller owns and has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations. or encumbrances created or incurred by Seller or against Seller; or, alternatively, Seller has been duly authorized to sell, transfer and assign the Transferred Claims by the owner of Transferred Claims who has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by such owner or against such owner; (d) Seller is duly authorized and empowered to execute and perform its obligations under this Agreement and Evidence of Transfer; (e) the Proofs of Claim includes the Purchased Portion specified in Schedule 1 attached hereto; (f) Seller has not engaged in, and, to the best of its knowledge, none of Seller's predecessors-in-interest (if any) in the past have engaged in, any acts, conduct or omissions, or had any relationship with the Debtor or its affiliates, that will result in Purchaser receiving in respect of the Transferred Claims proportionately less payments or distributions or less favorable treatment than other unsecured creditors; (g) a true and correct schedule of the 10th, 11th and 12th distributions paid to Seller by Lehman Brothers Holdings, Inc., appears attached hereto as Schedule 2; (h) to the best of its knowledge and based on its understanding of the Proceedings, the Transferred Claims are Class 5 Senior Third Party Guarantee Claims; and (i) a true and correct schedule of the 7th, 8th, 9th, and 10th distributions paid to Seller by Lehman Brothers Treasury Co. B.V. or its authorized agent(s), with respect to the securities relating to the Transferred Claims, appears attached hereto as Schedule 3.
- 3. Seller hereby waives any objection to the transfer of the Transferred Claims to Purchaser on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice

or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Seller by Purchaser for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claims. Purchaser agrees to file a notice of transfer with the Court pursuant to Federal Rule of Bankruptcy Procedure 3001(e) including this Agreement and Evidence of Transfer of Claim. Seller acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Seller transferring to Purchaser the Transferred Claims, recognizing Purchaser as the sole owner and holder of the Transferred Claims, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Purchaser.

- 4. All representations, warranties, covenants and indemnities shall survive the execution, delivery and performance of this Agreement and Evidence of Transfer of Claim and the transactions described herein. Purchaser shall be entitled to transfer its rights hereunder without any notice to or the consent of Seller. Seller hereby agrees to indemnify, defend and hold Purchaser, its successors and assigns and its officers, directors, employees, agents and controlling persons harmless from and against any and all losses, claims, damages, costs, expenses and liabilities, including, without limitation, reasonable attorneys' fees and expenses, which result from Seller's breach of its representations and warranties made herein.
- 5. Seller shall promptly (but in any event no later than three (3) business days) remit any payments, distributions or proceeds received by Seller in respect of the Transferred Claims to Purchaser. Seller has transferred, or shall transfer as soon as practicable after the date hereof, to Purchaser each Purchased Security to such account, via Euroclear or Clearstream (or similar transfer method), as Purchaser may designate in writing to Seller. This generated documentation or any applicable rules of Euroclear or Clearstream (or similar transfer method) with respect to the purchase and sale of the Purchased Security.
- 6. Each of Seller and Purchaser agrees to (a) execute and deliver, or cause to be executed and delivered, all such other and further agreements, documents and instruments and (b) take or cause to be taken all such other and further actions as the other party may reasonably request to effectuate the intent and purposes, and carry out the terms, of this Agreement and Evidence of Transfer, including, without limitation, cooperating to ensure the timely and accurate filing of any amendment to the Proofs of Claim.
- 7. Seller's and Purchaser's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with the laws of the State of New York (without regard to any conflicts of law provision that would require the application of the law of any other jurisdiction). Seller and Purchaser each submit to the to service of process by certified mail at its address listed on the signature page below, unless otherwise required by the international treaties governing the service of process to the parties.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this 31 day of AVEOST 2017.

THE ROYAL BANK OF SCOTLAND PLC

Name: CHRIS R

Title: AUTHORISED SIGNATORY

DEUTSCHE BANK AG, LONDON BRANCH

By: Name:

Simon Glennie

Director

By:\_\_\_ Name: Title:

Title:

Carlo Saba Director

Winchester House 1, Great Winchester Street London EC2N 2DB ENGLAND

Attn: Michael Sutton

# Transferred Claims

Schedule 1

## Purchased Portion

100% of the claims that are referenced in the Proofs of Claim (as highlighted in the copy of the Proofs of Claim attached at Schedule 2) and relating to the Purchased Securities described in the attached spreadsheet (plus all interest, costs and fees relating to this claim).

See attached spreadsheet, which describes:

- Description of Security Issued by Lehman Brothers Treasury BV ISIN / CUSIP
- issucr Blocking Number
- Guarantor
- LBHI Claim Number
  Principal / Notional Amount
- Allowed Amount
- Maturity  $10^{\text{th}}, 11^{\text{th}}$  and  $12^{\text{th}}$  Distributions made by LBHI

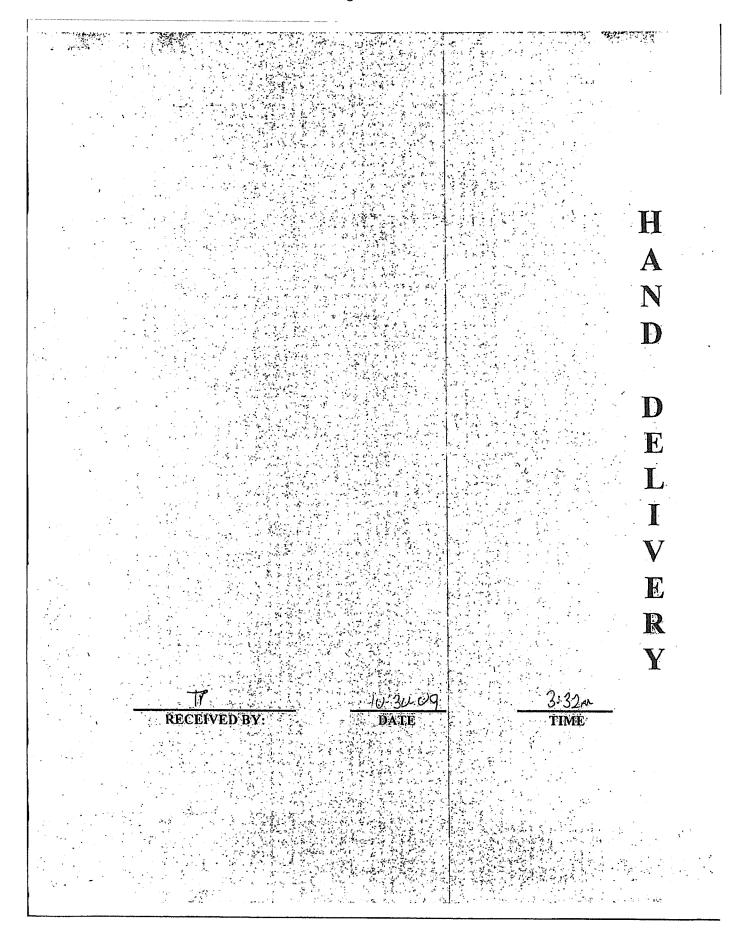
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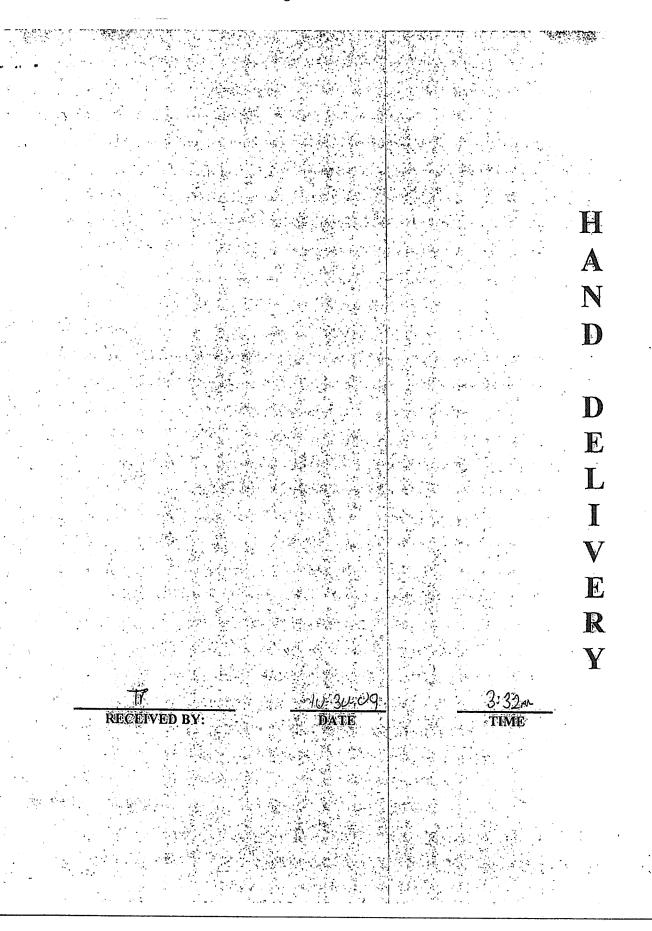
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Copies of LBHI Proofs of Claim

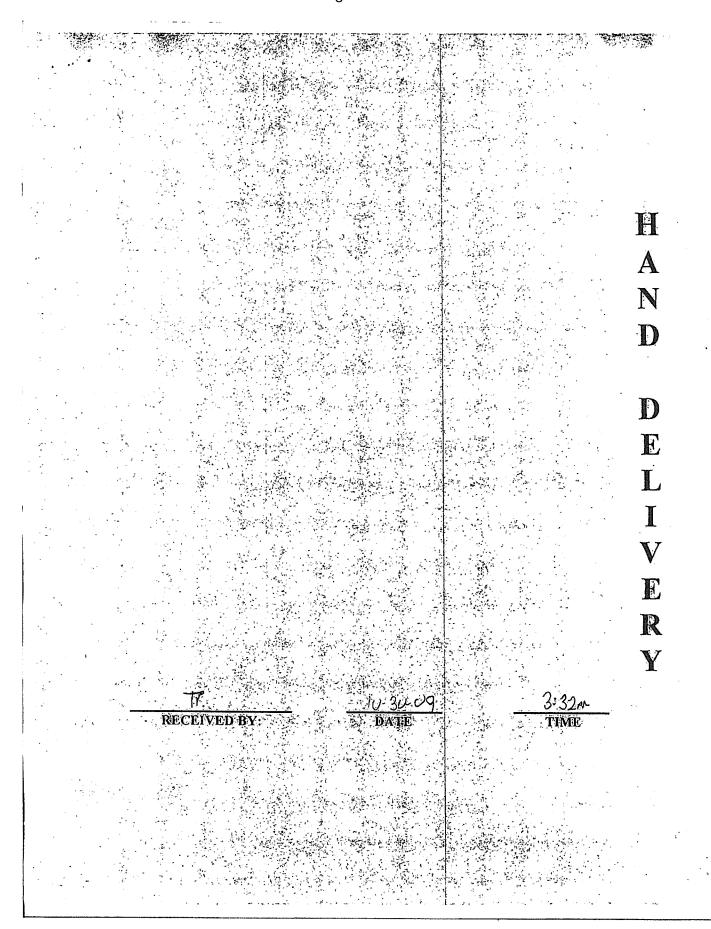
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	Holdings Claims Proces	sing Center		URITIES PROGRAMS			
	tcy Solutions, LLC		PROC	OF OF CLAIM			
FDR Station, P.O. New York, NY 1							
In Re:	0130-3070	Chapter 11					
	Holdings Inc., et al.,	Case No. 08-13555 (JMP)	Filed: USBC - South	em District of New York			
Debtors.		(Jointly Administered)	Lehman Brothers	Holdings Inc., Et Al.			
			08-135	55 (JMP) 0000059632			
Note: This for	m may not be used t	o file claims other than those	114 14 18 18 18 18 18 18 18 18 18 18 18 18 18				
based on Lehr	nan Programs Secur	ities as listed on					
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Name and addres Creditor)	s of Creditor: (and name	and address where notices should be	sent if different from	Check this box to indicate that this			
The Royal Bank	of Scotland pic	Notices to:		claim amends a previously filed claim.			
do RBS Securitie		Dewey & LeBoeuf LLP		Court Claim Number:			
	anaging Director and	Attn: Irena M. Goldstein		(If known)			
Senior Counsel 600 Washington	Boulevard	1301 Avenue of the Am New York, NY 10019	encas				
Stamford, CT 069		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		Filed on:			
Telephone number	r: (203) 897-6738 E	nail Address: pia.friis@rbs.com					
Name and addres	s where payment should	be sent (if different from above)		Check this box if you are aware that			
				anyone else has filed a proof of claim			
				relating to your claim. Attach copy of			
				statement giving particulars.			
Telephone number	er: E	nail Address:					
1. Provide the to	otal amount of your claim	hased on Lehman Programs Securiti	es. Vous claim amount must be	the amount owed under your Lehman			
Programs Securit	ies as of September 15. 2	1998, whether you owned the Lehman	Programs Securities on Sentem	her 15 2008 or acquired them thereafter			
and whether such	claim matured or becam	c tixed or liquidated before or after S	entember 15, 2008. The claim :	mount must be stated in United States			
you may attach a	schedule with the claim	bie on September 15, 2008. If you are amounts for each Lehman Programs S	tiling this claim with respect to	more than one Lehman Programs Security,			
Amount of Clair	The claim amount and inte	est thereon cannot be calculated at this time.	The Royal Bank of Scotland pic herob	v claims (i) the par amount, or such amount if binher or			
Amount of Claim: The claim amount and interest thereon cannot be calculated at this time. The Royal Bank of Scotland pic hereby claims (i) the par amount, or such amount if higher or lower, as calculated under the documentation governing the security, and (ii) interest thereon in accordance with the terms and conditions of the security.  Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.							
this claim with re which this claim	spect to more than one L	ehman Programs Security, you may a	ttach a schedule with the ISINs	for the Lehman Programs Securities to			
which this claim	iciaics.						
International Se	curities Identification ?	lumber (ISIN): XS0326865671	(Required)				
3. Provide the Cl	earstream Bank Blocking	Number, a Euroclear Bank Electroni	c Reference Number, or other d	epository blocking reference number, as			
appropriate (each	, a "Blocking Number")	for each Lehman Programs Security I	or which you are filing a claim.	You must acquire a Blocking Number			
than one I ehman	Programs Security you	oker or other entity that holds such se	curities on your behalf). If you	are filing this claim with respect to more Programs Security to which this claim			
relates.	rogiums seemity, you	may attach a schedule with the Block	ing Numbers for each Lemman i	rograms security to which this claim			
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number:				·			
9554961		(Require	·4)				
4. Provide the Cla	amtroom Dank Cincala						
you are filing this	claim. You must acqui	or bank or other depository participants the relevant Clearstream Bank Fur	I account number related to you	r Lehman Programs Securities for which participant account number from your			
accountholder (1.6	the bank, broker or oth	er entity that holds such securities on	your behalf). Beneficial holder	s should not provide their personal account			
numbers.							
Accountholders	Euroclear Bank, Clear	stream Bank or Other Depository P	articipant Account Number:				
97802		(Required	<b>i</b> )				
5. Consent to Eu	roclear Bank, Clearstr	eam Bank or Other Depository: By	filing this claim, you	FOR COURT USE ONLY			
consent to, and ar	e deemed to have author	ized, Euroclear Bank, Clearstream Ba	ink or other denository to	FILED / RECEIVED			
disclose your ide	ntity and holdings of Lef	man Programs Socurities to the Debte	ors for the purpose of				
reconciling claim	s and distributions.	Killen		1 007 0 0000			
Date.	Signature: The person	filing this claim must sign it. Sign ar	nd print name and title, if any	OCT 3 0 2009			
10/28/2009	of the creditor or other	person authorized to file this claim ar	d state address and telephone				
ĺ	number if different from	n the notice address above. Attach co land pic its agont	py of power of attorney, if	EPIG BANKRUPTCY SOLUTIONS, LLC			
	By RBS Securities Inc.	its agent President, Tel: (203) 897-7571		Prin American rat Apparitual fra			
Penalty	for presenting frauduler	et claim: Fine of up to \$500,000 or in	prisonment for up to 5 years, or	r both. 18 U.S.C. §§ 152 and 3571			



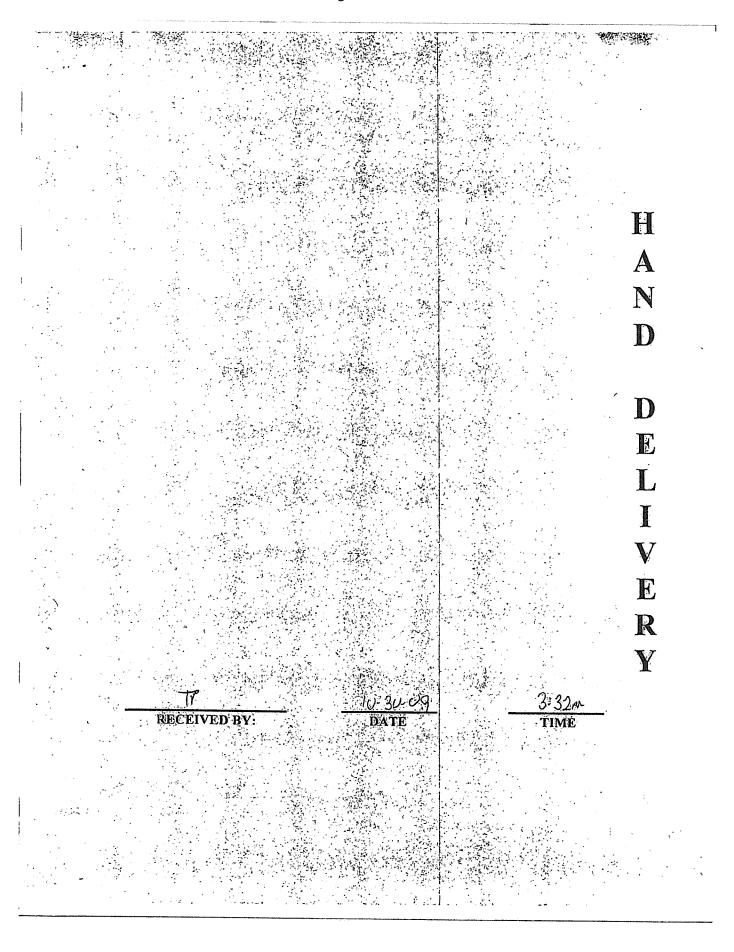
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New York, NY 10150-5076	Chapter 11					
Lehman Brothers Holdings Inc., et al.,	Case No. 08-13555 (JMP)		- Southern District of New York			
Debtors.	(Jointly Administered)		Prothers Holdings Inc., Et Al. 08-13555 (JMP) 0000059633			
Note: This form may not be used	to file claims other than those	111 1 i ARAU	1111 1 1010 M E M E M I			
based on Lehman Programs Secu	rities as listed on					
http://www.lehman-docket.com/u	of July 17, 2009					
Name and address of Creditor: (and name	and address where notices should be	sent if different from	Check this box to indicate that this			
Creditor) The Royal Bank of Scotland plc	Notices to:		claim amends a previously filed claim.			
c/o RBS Securities Inc.	Dewey & LeBoeuf LLP		Court Claim Number:			
Attn: Pia Friis, Managing Director and Senior Counsel	Attn: Irena M. Goldstein 1301 Avenue of the Am		(If known)			
600 Washington Boulevard Stamford, CT 06901	New York, NY 10019	onod <sub>3</sub>	Filed on:			
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Name and address where payment should	mail Address: pia.friis@rbs.com		Chalatiata is			
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			relating to your claim. Attach copy of statement giving particulars.			
Telephone number:	mail Address:		satisficant giving particulars.			
<ol> <li>Provide the total amount of your claim Programs Securities as of September 15,</li> </ol>	ZUOX Autoiner you owned the Lehman	Programs Constitution on Conton	-bo- 16 7000			
and whether such claim matured or necan	ne uxen or ununated before or after S	entember 15 7000 The claim	amount must be stated in United States o more than one Lehman Programs Security,			
You may attach a schedule with the claim	amounts for each Lenman Programs S	ecumin to which this claim fals	ilar I			
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Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.  Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filling this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to						
this claim with respect to more than one I which this claim relates.	ehman Programs Security, you may a	ttach a schedule with the ISINs	for the Lehman Programs Securities to			
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3. Provide the Clearstream Bank Blocking	g Number, a Euroclear Bank Electroni	c Reference Number, or other d	lenository blacking reference number us			
appropriate (each, a "Blocking Number")	for each Lehman Programs Security f	or which you are filing a claim.	Von must acquire a Blacking Number			
from your accountholder (i.e. the bank, brithan one Lehman Programs Security, you	may attach a schedule with the Block	curities on your behalf). If you include the same of t	are filing this claim with respect to more			
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accountiolder (i.e. the bank, broker or off numbers.	er entity that holds such securities on	your behalf). Beneficial holder	s should not provide their personal account			
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5. Consent to Euroclear Bank, Clearstr	eam Bank or Other Depository: By	filing this claim, you	FOR COURT USE ONLY			
consent to, and are deemed to have author disclose your identity and holdings of Lel	rized, Euroclear Bank, Clearstream Ba	nk or other depository to	FILED / RECEIVED			
reconciling claims and distributions.	man a rograms securities to the Debio	is for the purpose of				
Date. Signature: The person	n filing this claim must sign it. Sign an	d print name and that the	OCT 3 0 2009			
10/28/2009 of the creditor or other	person authorized to file this claim and	d state address and telephone				
number if different from	m the notice address above. Attach cor	by of power of attorney, if	EPIQ BANKRUPTCY SOLUTIONS, LLC			
By RBS Securities Inc. By: Karen Brewer, Vice	, its agent President, Tel: (203) 897-7571	4				
Penalty for presenting frauduler	nt claim: Fine of up to \$500,000 or im	prisonment for up to 5 years, o	r both. 18 U.S.C. §§ 152 and 3571			



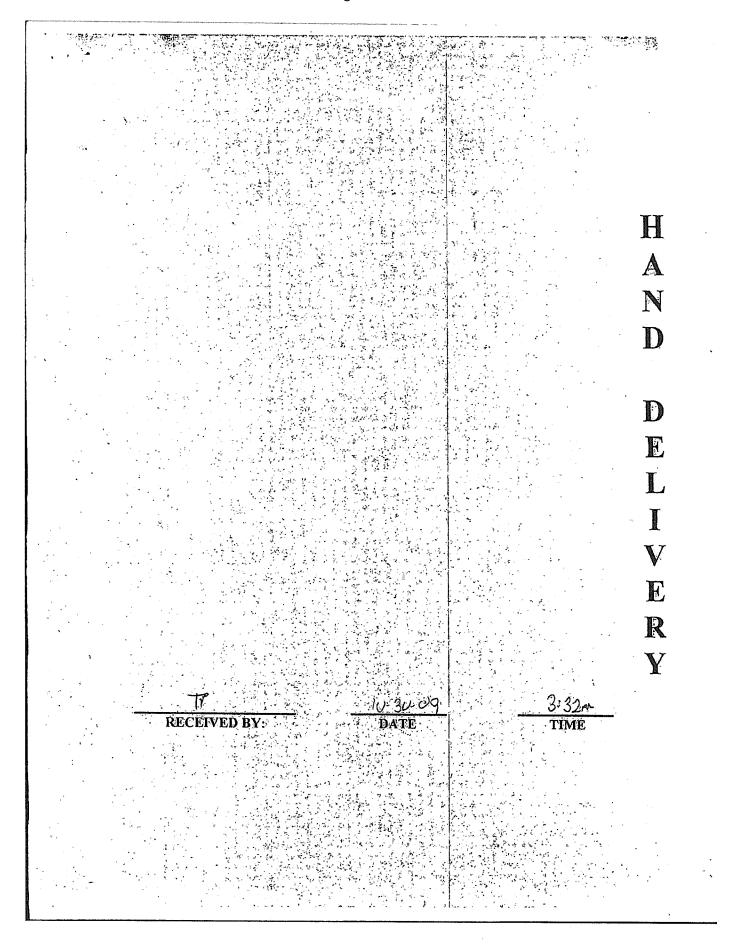
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FDR Station, P.O New York, NY 1				
In Re:	0130-3070	Chapter 11		
	Holdings Inc., et al.,	Case No. 08-13555 (JMP)	Filed: USE	3C - Southern District of New York
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Name and addres	s of Creditor: (and name	and address where notices should be	sent if different from	Check this box to indicate that this
Creditor)				claim amends a previously filed claim.
The Royal Bank of c/o RBS Securities		Notices to: Deway & LeBoauf LLP		
Attn: Pia Friis, Ma	anaging Director and	Attn: Irena M. Goldsteir	i	Court Claim Number:
Senior Counsel 600 Washington	Paulouard	1301 Avenue of the Arr New York, NY 10019	nericas	(l) known)
Stamford, CT 069		146M 101K, 141 1001a		Filed on:
		nail Address: pia.friis@rbs.com		
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				relating to your claim. Attach copy of statement giving particulars.
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Telephone number	er: Er	nail Address:		
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Accountholders	Euroclear Bank, Clears	tream Bank or Other Depository f	Participant Account Number:	
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10/28/2009	number if different from	person authorized to file this claim as n the notice address above. Attach co		
	any. The Royal Bank of Scot	land pic its agent	go or pomer or anomey, it	EPIQ BANKRUPTCY SOLUTIONS, LLC
Panalin	By: Karen Brewer, Vice	President, Tel: (203) 897-7571 t claim: Fine of up to \$500,000 or in	principal for up to 5	
renally	joi presenting fraudulen	rine of up to \$500,000 or ir	iprisonment for up to 3 years, o	or born. 18 U.S.C. §§ 152 and 3571



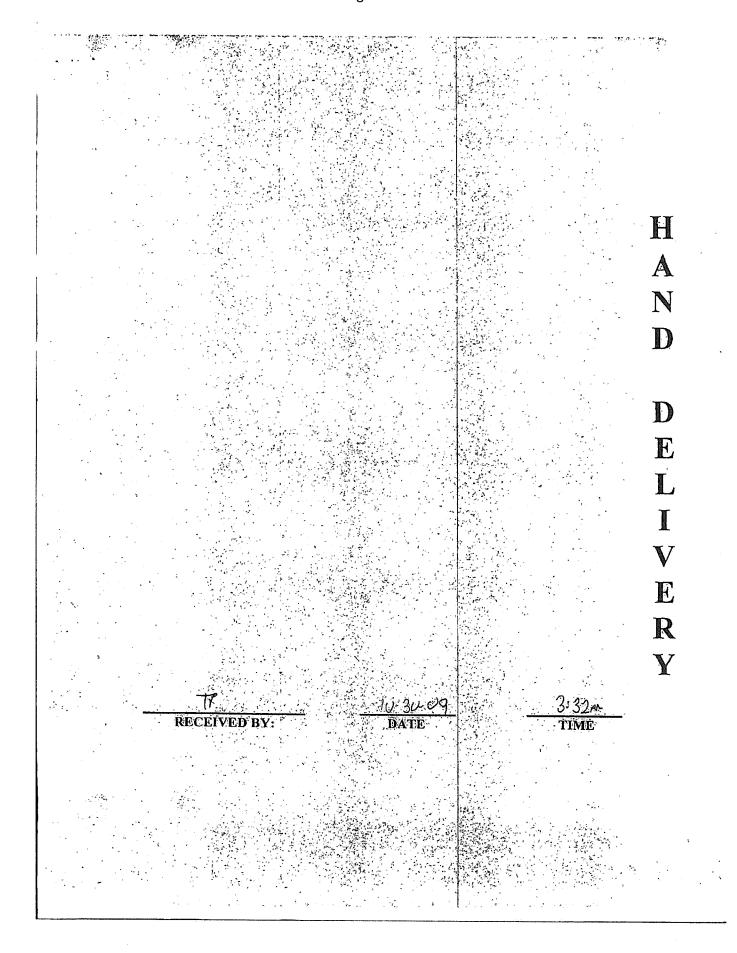
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In Re:	3130-3070	Chapter 11		<del> </del>		
	Holdings Inc., et al.,	Case No. 08-13555 (JMP)	Filed: USBC - Si	outhern District of New York		
Debtors.		(Jointly Administered)		hers Holdings Inc., Et Al.		
No. 200			U8-	13555 (JMP) 0000059635		
Note: This for	m may not be used to	o file claims other than those				
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ицииначно	mmin-cockencom ds	or may 17, 2009	\$11 11 DERESTED	(1 : Film fi : sb :un mri		
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Creditor)		and the state of t	John II different from	Check this box to indicate that this claim amends a previously filed claim.		
The Royal Bank o		Notices to:		ciaini anends a previously med claim.		
c/o RBS Securitie	s Inc. naging Director and	Dewey & LeBoeuf LLP Attn: Irena M. Goldstein		Court Claim Number:		
Senior Counsel	rieging Director and	1301 Avenue of the Am		(If known)		
600 Washington 6		New York, NY 10019		Filed on:		
Stamford, CT 069				ned on:		
Name and address	r: (203) 897-6738 Er	nail Address: pia.friis@rbs.com be sent (if different from above)				
Name and address	where payment should	be sent (if different from above)		Check this box if you are aware that		
				anyone else has filed a proof of claim relating to your claim. Attach copy of		
			}	statement giving particulars.		
Telephone numbe	r: Er	nail Address:	ļ			
Programs Securiti	tal amount of your claim	based on Lehman Programs Securiti	es. Your claim amount must be	the amount owed under your Lehman sher 15, 2008 or acquired them thereafter,		
and whether such	ciaim matured or becam	e lixed or houndaied before or after S	entember 15 2008. The claim of	resount must be stated in United Center		
uonars, using me	exchange rate as anniica	ble on September 15, 2008, It vou pre	tiling this claim with receest to	amore then and I almost Beautiful Committee I		
you may attach a	The claim amount and inter	amounts for each Lehman Programs S	Decumity to which this claim rela	les.		
Amount of Clain	lower, as calculated under t	the documentation governing the security, and	(ii) Interest thereon in accordance with	y claims (i) the par amount, or such amount if higher or the terms and conditions of the security.		
Check this b	ox if the amount of clain	n includes interest or other charges in	addition to the principal amoun	it due on the Lehman Programs Securities.		
2. Provide the International Securities Identification Number (ISIN) for each Lebman Programs Security to which this claim relates. If you are filling						
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.						
which this claim i	ciates.					
International Sec	urities Identification N	lumber (ISIN): x50326608352	(Required)			
3. Provide the Cle	arstream Bank Blocking	Number, a Euroclear Bank Electroni	c Reference Number, or other d	epository blocking reference number, as		
from your accoun	a "Blocking Number")	for each Lehman Programs Security f	or which you are filing a claim.	You must acquire a Blocking Number are filing this claim with respect to more		
than one Lehman	Programs Security, you	may attach a schedule with the Block	furnies on your behalf). If you	are filing this claim with respect to more Programs Security to which this claim		
relates.		a solie	ing rumoers for each Echinan	rograms security to which this claim		
<b>6</b> 1 <b>5</b>	and the same of the same					
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	Euroclear Bank, Clears	stream Bank or Other Depository P	articipant Account Number:			
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5. Consent to Eu	roclear Bank, Clearstre	am Bank or Other Depository: By	filing this claim, you	FOR COURT USE ONLY		
disclose your iden	tity and holdings of Lab	ized, Euroclear Bank, Clearstream Ba man Programs Socurities to the Debte	ink or other depository to	FILED / RECEIVED		
reconciling claims	and distributions.	Togrand Sydinies to the Deon	as for the barbose of			
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Date. 10/28/2009	of the condition or other	filing this claim must sign it. Sign ar person authorized to file this claim an	d print name and title, if any,	OCT 3 0 2009		
10/20/2009	number if different from	n the notice address above. Attach on	by of power of attorney if			
j	any. By RBS Securities Inc.,	n the notice address above. Attach co and ple its agent	L) at barret of attorito), it	EPIO BANKAUPTCY SOLUTIONS, LLC		
	By: Karen Brewer, Vice	President, Tel: (203) 897-7571		r both. 18 U.S.C. §§ 152 and 3571		
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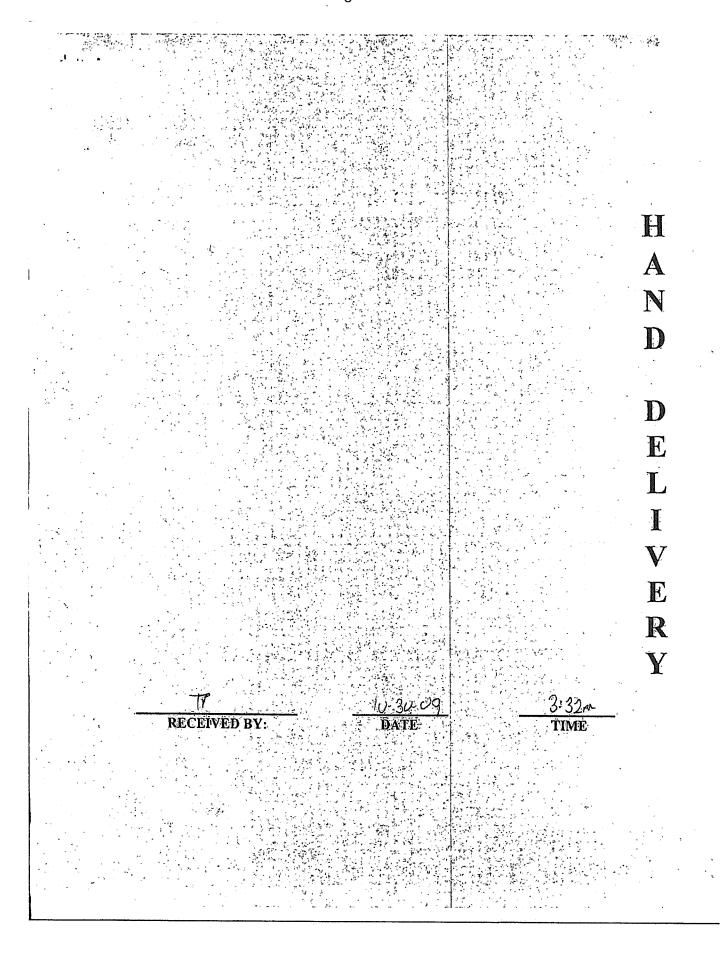
United States Bankruptcy Court/Souther	rn District of New York		
Lehman Brothers Holdings Claims Proce c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076	=		URITIES PROGRAMS OF OF CLAIM
New York, NY 10150-5076	Lac	Filed: USBC - So	ulhern District of New York
In Re: Lehman Brothers Holdings Inc., et al.,	Chapter 11	Lehman Brothi	ers Holdings Inc., Et Al.
Debtors.	Case No. 08-13555 (JMP) (Jointly Administered)	08-1	3555 (JMP) 0000059636
Note: This form may not be used based on Lehman Programs Secur http://www.lehman-docket.com a	rities as listed on	IHIS STACE	IS POR COURT ON STATE
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Creditor)			Check this box to indicate that this claim amends a previously filed claim.
The Royal Bank of Scotland pic	Notices to:		ciann amenus a previously med ciann.
do RBS Securities Inc.	Dewey & LeBoeul LLP		Court Claim Number:
Attn: Pia Friis, Managing Director and	Attn: Irena M. Goldstein		(If known)
Senior Counsel 600 Washington Boulevard	1301 Avenue of the Am New York, NY 10019	ericas	
Stamford, CT 06901	14614 FOIK, 141 10015		Filed on:
Telephone number: (203) 897-6738 E	mail Address nia frie@the.com		
Name and address where payment should		· · · · · · · · · · · · · · · · · · ·	Chook this how if
<b>, , , , , , , , , , , , , , , , , , , </b>	,		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number: E	mail Address:		
Amount of Claim: The claim amount and into Amount of Claim: lower, as calculated under the Check this box if the amount of claim.	amounts for each Lehman Programs S erest thereon cannot be calculated at this time. I the documentation governing the security, and im includes interest or other charges in Identification Number (ISIN) for each Lehman Programs Security, you may a	Security to which this claim rela The Royal Bank of Scotland pic hereb (III) Interest thereon in accordance will addition to the principal amour Lehman Programs Security to y	y claims (i) the par amount, or such amount if higher or in the terms and conditions of the security.  It due on the Lehman Programs Securities.
-3. Provide the Clearstream Bank Blockin appropriate (each, a "Blocking Number") from your accountholder (i.e. the bank, b than one Lehman Programs Security, you relates. Clearstream Bank Blocking Number, 1	of or each Lehman Programs Security is force or other entity that holds such set may attach a schedule with the Block	or which you are filing a claim, curities on your behalf). If you ing Numbers for each Lehman	You must acquire a Blocking Number are filing this claim with respect to more Programs Security to which this claim
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5. Consent to Euroclear Bank, Clearsti consent to, and are deemed to have autho disclose your identity and holdings of Lei reconciling claims and distributions.	rized, Euroclear Bank, Clearstream Ba hman Programs Scounties to the Debto	ink or other depository to ors for the purpose of	OCT 3 0 2009
10/28/2009 of the creditor or other number if different fro any. The Royal Bank of So By RBS Securities inc. By: Keron Brower, Vic.	n filing this claim must sign it. Sign ar person authorized to file this claim an im the notice address above. Attach co obtand ple , ils agent e Prosident, Tol: (203) 897-7571	d state address and telephone py of power of attorney, if	EPIQ BANKRUPTCY SOLUTIONS, LLC
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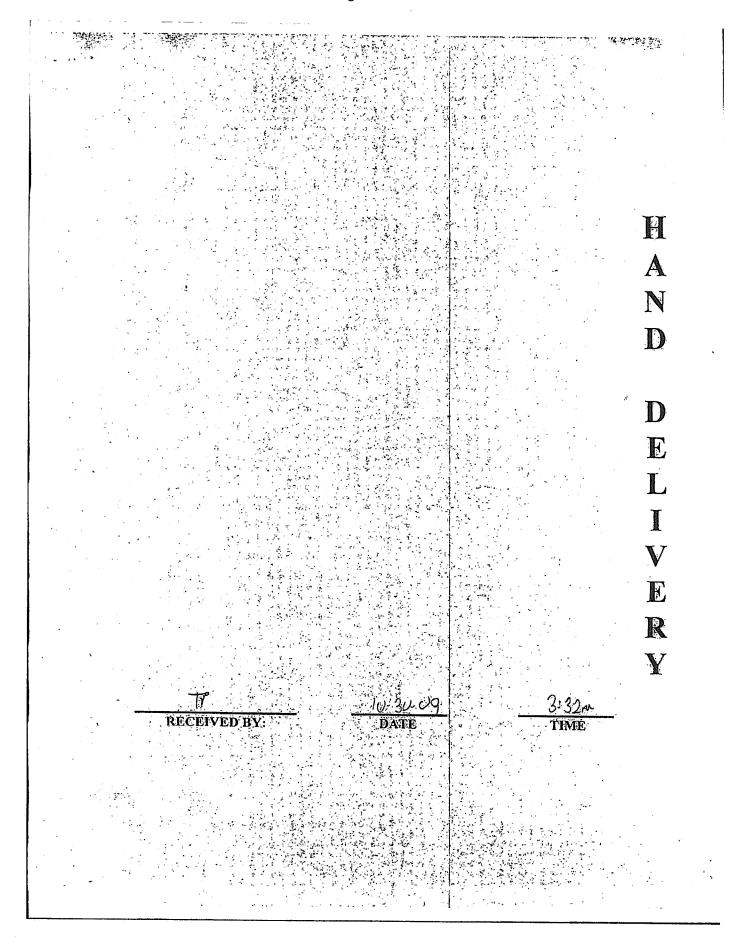
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In Re: Lehman Brothers Debtors.	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Broth	uthem District of New York ters Holdings Inc., Et Al. 13555 (JMP) 0.000,5963.7
based on Lehi	m may not be used to nan Programs Securi hman-docket.com as	o file claims other than those ties as listed on of July 17, 2009		0000059637
Creditor) The Royal Bank of RBS Securitie Attn: Pia Friis, Ma Senior Counsel 600 Washington Stamford, CT 060 Telephone numb	of Scotland plc as Inc. anaging Director and Boulevard 901 er: (203) 897-6738 En	Notices to: Dewey & LeBoeuf LLP Attn: Trena M. Goldstein 1301 Avenue of the Am New York, NY 10019  mail Address: pia.friis@rbs.com be sent (if different from above)	1	Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number: (If known)  Filed on:  Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
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Date. 10/28/2009	of the creditor or other p number if different from The Royal Bank of Scoti By RBS Securities Inc. By: Karen Brower, Vice	President, Tel: (203) 897-7571	d state address and telephone py of power of attorney, if	OCT 3 0 2009  EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty	for presenting fraudulen	claim: Fine of up to \$500,000 or in	prisonment for up to 5 years, or	both. 18 U.S.C. §§ 152 and 3571



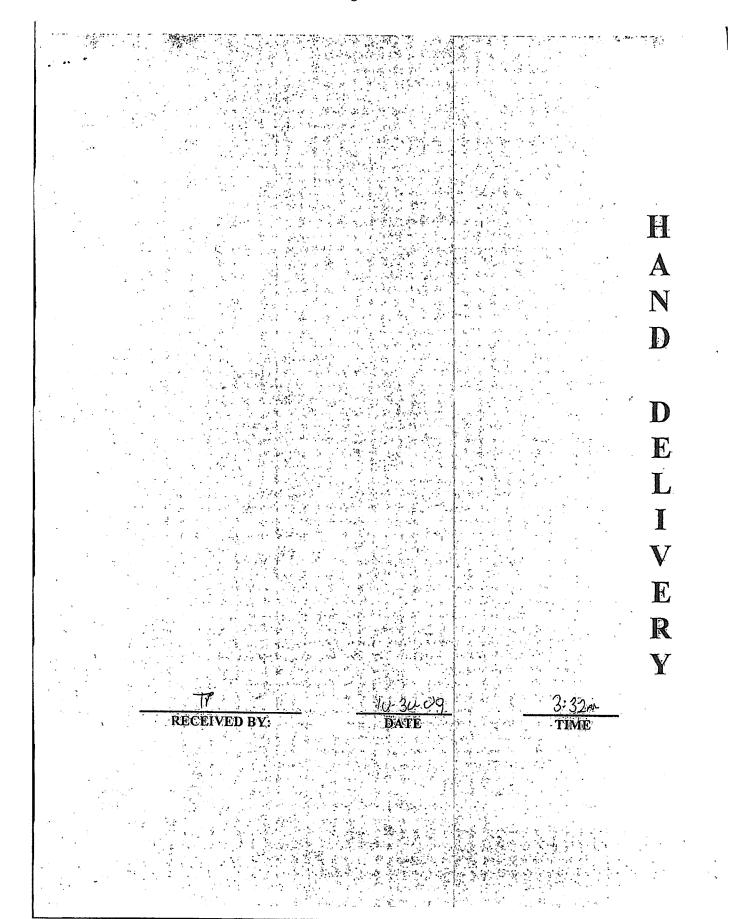
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United States Bankruptcy Court/South Lehman Brothers Holdings Claims Pro c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		PROC	URITIES PROGRAMS OF OF CLAIM
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	I set man Richhell	nern District of New York s Holdings Inc., Et Al. 555 (JMP) 0000059638
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c/o RBS Securities Inc. Attn: Pla Friis, Managing Director and Senior Counsel 600 Washington Boulevard	Dewey & LeBoeuf LLP Attn: Irena M. Goldstein 1301 Avenue of the Am New York, NY 10019		Court Claim Number:(If known)
Stamford, CT 06901	5 3 4 5 5		Filed on:
Telephone number: (203) 897-6738  Name and address where payment shou	Email Address: pla.ms@rbs.com		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number:	Email Address:		
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reconciling claims and distributions.	Willen		OCT 3 0 2009
10/28/2009 of the creditor or oth number if different if any. The Royal Bank of S By RBS Secution I By: Karan Brewer, V	on filing this claim must sign it. Sign an er person authorized to file this claim an om the notice address above. Attach co- colund pie. ic., its agent ico President, Tol: (203) 897-7571	d state address and telephone by of power of attorney, if	EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty for presenting fraudu	ent claim: Fine of up to \$500,000 or in	prisonment for up to 5 years, or	both. 18 U.S.C. §§ 152 and 3571



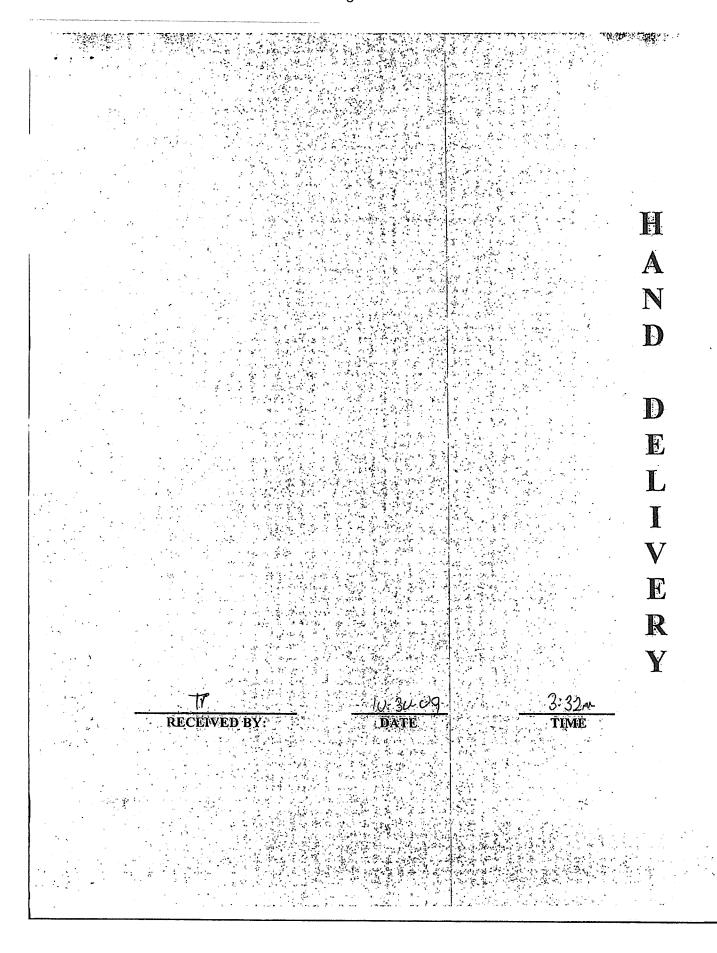
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5. Consent to Euconsent to, and ardisclose your idea	roclear Bank, Clearstream Bank or Other Deposite deemed to have authorized, Euroclear Bank, Clears nity and holdings of Lehman Programs Securities to a sand distributions.  Signature: The person filing this claim must sign if	ory: By filing this claim, you ream Bank or other depository to the Debtors for the purpose of	R COURT USE ONLY LED / RECEIVED OCT 3 0 2009
10/28/2009	of the creditor or other person authorized to file this number if different from the notice address above. A The Royal Bank of Scotland pic any. By RBS Securities Inc., its agent By Karen Brower, Vice President, Tel: (203) 897-7571	claim and state address and telephone ttach copy of power of attorney, if	ARKRUPTCY SOLUTIONS, LLC
Penalty	for presenting fraudulent claim: Fine of up to \$500,	300 or imprisonment for up to 5 years, or both. 18 L	J.S.C. §§ 152 and 3571



	nkruptcy Court/Souther						
Lehman Brothers Holdings Claims Processing Center				URITIES PROGRAMS			
c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076			PROC	OF OF CLAIM			
New York, NY 1							
In Re: Lehman Brothers	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)	Filed: USBC - So	othern District of New York			
Debtors.	riolonigs inc., et al.,	(Jointly Administered)	renman Brothe	ers Holdings Inc., Et Al.			
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Note: This for	m may not be used t nan Programs Secur	o file claims other than those					
http://www.le	hman-docket.com as	nies as asied on July 17, 2009	4(1 4 1 <b>4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1</b>				
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Creditor) The Royal Bank	of Scotland pic	Notices to:		claim amends a previously filed claim.			
c/o RBS Securition	es Inc.	Dewey & LeBoeuf LLP		Court Claim Number:			
Senior Counsel	anaging Director and	Attn: frena M. Goldstein 1301 Avenue of the Am		(If known)			
600 Washington		New York, NY 10019		Filed on:			
Stamford, CT 06901 Filed to Telephone number: (203) 897-6738 Email Address: pia.friis@rbs.com							
Name and addres	s where payment should	be sent (if different from above)		Check this box if you are aware that			
		,		anyone else has filed a proof of claim			
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Telephone numb	nu To			gring pa dedias.			
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Programs Securit	ies as of September 15. 2	2008, whether you owned the Lehman	Programs Securities on Sentem	the amount owed under your Lehman aber 15, 2008 or acquired them thereafter,			
and whether such	i ciaim matured or becam	ie fixed or houidated before or after S	entember 15, 2008. The claim a	amount must be stated in United States			
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Amount of Clair	n: The claim amount and inter	rest thereon cannot be calculated at this time.	The Royal Bank of Scotland pic hereb	y claims (i) the par amount, or such amount if higher or the terms and conditions of the security:			
Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.  2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing							
this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to							
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3. Provide the Cl	earstream Bank Blocking	Number a Furoclear Bank Flectron	ic Reference Number or other d	epository blocking reference number, as			
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than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.							
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4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which							
you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account							
numbers.				·			
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:							
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consent to, and a	re deemed to have author	eam Bank or Other Depository: By ized, Euroclear Bank, Clearstream Ba	ank or other depository to	FOR COURT USE ONLY FILED / RECEIVED			
disclose your ide	disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of						
reconciling claims and distributions.							
Date.	Signature: The persor	filing this claim must sign it. Sign a	nd print name and title, if any,	OCT 3 0 2009			
10/28/2009	or the creditor or other number if different from	person authorized to file this claim ar n the notice address above. Attach co	nd state address and telephone				
	any. By RBS Securities Inc.	Jand pic its apport	Programme of attorities, it	EPIO BANKRUPTCY SOLUTIONS, LLC			
Penaln	By: Karen Brewer, Vice	President, Tet: (203) 697-7571 at claim: Fine of up to \$500,000 or in	oprisonment for up to 5 years of	r both 18 U.S.C. 88 152 and 3571			
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United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076 In Re: Lehman Brothers Holdings Inc., et al., Debtors.  Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)  Note: This form may not be used to file claims other than those	3					
New York, NY 10150-5076  In Re: Lehman Brothers Holdings Inc., et al., Debtors.  Chapter II Case No. 08-13555 (JMP) (Jointly Administered)  Note: This form may not be used to file claims other than those						
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Note: This form may not be used to file claims other than those						
based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009						
Name and address of Creditor: (and name and address where notices should be sent if different from						
Creditor)	is n.					
The Royal Bank of Scotland pic Notices to:  c/o RBS Securities Inc. Dewey & LeBoeuf LLP Court Claim Number:						
Attn: Pia Friis, Managing Director and Attn: Irena M. Goldstein Senior Counsel 1301 Avenue of the Americas (If known)	-					
600 Washington Boulevard New York, NY 10019 Stamford, CT 06901 Filed on:						
Telephone number: (203) 897-6738 Email Address: pia.friis@rbs.com						
Name and address where payment should be sent (if different from above)  Check this box if you are aware						
anyone else has filed a proof of clain relating to your claim. Attach copy statement giving particulars.	1					
Telephone number: Email Address:	]					
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.  Amount of Claim: The claim amount and interest thereon cannot be calculated at this time. The Royal Bank of Securities (i) the par amount, or such amount if higher or lower, as calculated under the documentation governing the security, and (ii) interest thereon in accordance with the terms and conditions of the security.  Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.  Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.  International Securities Identification Number (ISIN): XS0325841368  (Required)  3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.  Clearstream Bank Blocking Number, Euroclear Ba						
number:						
9554971 (Required)						
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.						
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97802 (Required)  5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.	1					
97802 (Required)  5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.						



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